OIPE
OCT 12 2001
PATENT & TRADEMARK OFFICE

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicant(s): John Duffy et al.

Attorney Docket No.: 1999-0719

Application No.: 09/859675

Filing Date: 05/18/2001

Examiner Name:

Group Art Unit:

Title: Switched Digital Video Gateway

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Box: Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge **AT&T Corp. Deposit Account No. 01-2745** in the amount of $130.00 to cover the filing fee surcharge. A duplicate copy of this letter is enclosed. In the event of any non-payment or improper payment of a required fee, the Assistant Commissioner is authorized to charge or to credit **AT&T Corp. Deposit Account No. 01-2745** as required to correct the error.

A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Date: 10/08/01

By: _____

Robert B. Levy
Attorney for Applicant(s)
Reg. No.: 28234
T: 908-221-5714
F: 732-368-6932
AT&T CORP.
P.O. Box 4110
Middletown, New Jersey 07748-4110

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OCT 12 2001
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission	

Application Number	09/859675
Filing Date	05/18/2001
First Named Inventor	John Duffy et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	1999-0719

Enclosures *(check all that apply)*

- ☒ Fee Transmittal Form
 - ☒ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits / Declaration(s)
- ☒ Petition for Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Response to Missing Parts/Incomplete Application
- ☒ Assignment & Recordation Cover Sheet
- ☐ Drawing(s) & Letter to Official Draftsman
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ After Allowance Communication to Group
- ☐ Appeal Communications to Board of Appeals and Interferences
- ☐ Appeal Communications to Group *(Appeal Notice, Brief, Reply Brief)*
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Return Receipt Postcard
- ☒ Additional enclosure(s) *(please identify below)*

Copy of PTO 1533

Remarks

CORRESPONDENCE ADDRESS

☐ *Customer Number or Bar Code Label* (Insert Customer No. or Attach bar code label here) *or* ☒ *Correspondence address below*

NAME	Samuel H. Dworetsky				
ADDRESS	AT&T CORP. P.O. Box 4110				
CITY	Middletown	**STATE**	New Jersey	**ZIP CODE**	07748-4110
COUNTRY	United States of America			**FAX**	732-368-6932

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Robert B. Levy	Reg. #	28234
TELEPHONE	908-221-5714		
SIGNATURE		DATE	10/08/2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/08/2001

Type or Printed Name	Felicia Adadevoh		
Signature		Date	10/08/2001

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

FEE TRANSMITTAL

Patent Fees are subject to annual revision.

OCT 12 2001

TOTAL AMOUNT OF PAYMENT	$910

mplete if Known

Application Number	09/859675
Filing Date	05/18/2001
First Named Inventor	John Duffy et al.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	1999-0719

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 01-2745
Deposit Account Name: AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee($)	Fee Description	Fee Paid
101	740	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	740
106	330	Design Filing Fee	
108	740	Reissue Filing Fee	
114	169	Provisional Filing Fee	

SUBTOTAL (1) 740

2. CLAIMS

☐ Filing Under 37CFR 1.53 (b)

☐ CPA Under 37CFR 1.53 (d)

☐ Amendment

	Extra Claims		Fee from below		Fee Paid
Total	- 20= 0	x	18	=	0
Ind.	- 3= 0	x	84	=	0
Multiple Dependent Claims				=	0

Large Fee Code	Entity Fee($)	Fee Description
103	18	Claims in excess of 20
102	84	Independent Claims in excess of 3
104	280	Multiple Dependent Claims
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee($)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	130
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1440	Extension for reply within fourth month	
128	1960	Extension for reply within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive – unavoidable	
141	1280	Petition to revive – unintentional	
142	1280	Utility issue fee (or reissue)	
143	460	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	40
146	740	Filing a submission after final rejection(37 CFR 1.129(a))	
149	740	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

* Reduced by Basic Filing Fee Paid

SUBTOTAL(3) 170

SUBMITTED BY					Complete (if applicable)	
Typed or Printed Name	Robert B. Levy				Reg. Number	28234
Signature		Date	10/12/01	Deposit Account User ID		

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

THJ

OIPE JC41
OCT 12 2001
PATENT & TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/859,675	05/18/2001	John Duffy	03493.87489

CONFIRMATION NO. 3353

FORMALITIES LETTER

OC000000006319162

28317
BANNER & WITCOFF LTD.,
ATTORNEYS FOR AT & T CORP
1001 G STREET , N.W.
ELEVENTH STREET
WASHINGTON, DC 20001-4597

RECEIVED
JUL 20 2001
BANNER & WITCOFF LTD

Date Mailed: 07/19/2001

due 9/19/01

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit $ **710** *to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is $80.
 - **$80** for **1** independent claims over 3 .
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is $ 920.

Void date: 11/13/2001 MTEKLEMI
11/13/2001 MTEKLEMI 00000020 012745 09859675
01 FC:102 80.00 CR

11/07/2001 MLAKEW1 00000003 012745 09859675
01 FC:102 80.00 CH

A copy of this notice MUST be returned with the reply.

11/13/2001 MTEKLEMI 00000020 012745 09859675
01 FC:102 80.00 CH

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

10/16/2001 SDENBOB1 00000004 012745 09859675
01 FC:101 740.00 CH
02 FC:105 130.00 CH

03493.87489
DOCKETED
JUL 20 2001
Missing Parts Due 19SEP2001
LAST DAY 19FEB2002